

Nasdaq CSD Price List

Registry Fees – The Icelandic Securities Settlement System

REGISTRY SERVICES

1. Registry services to issuers

1.1 ISIN allocation and maintenance

Service name	Unit	Price
Proceeding fee for allocation of ISIN	Application	ISK 10.500
Express proceeding fee for allocation of ISIN (within 1 working day)	Application	ISK 20.500
ISIN maintenance fee when issue is not registered ¹	Annual	ISK 10.500

1.2 Initial registration and corporate actions

1.2.1 Initial registration

Service name	Unit	Price
Proceeding fee	Application	ISK 20.500
Express proceeding fee (within 2 working day)	Application	ISK 60.000

1.2.2 Corporate actions²

Service name	Unit	Price
Proceeding fee for dividends and debt securities events ³	Application	ISK 20.500
Proceeding fee for other events relating to shares	Application	ISK 40.000
Express proceeding fee (within 2 working days)	Application	ISK 60.000

1.3 Transfer fee

1.3.1 Securities and cash transfer instructions related to registration and corporate actions

Service name	Unit	Price
Transfer instruction fee ⁴	Instruction per securities account	ISK 400
Max transfer instruction fee for shares	Per application	ISK 375.000
Transfer instruction fee for cash payments relating to debt securities		Free
Registration and change of a pledge	Entry	ISK 1.000

¹ Not applicable for the year when ISIN is allocated.

² Involves the registration of changes in the data of the issuer and securities entered in the register, including change in the issued volume or nominal value, deletion of securities, merger, division and transformation.

³ For debt securities events where a breakdown list is required, a query charge will be applied in accordance with 2.1 Special Queries.

⁴ Transfer of securities (credit or debit) counts as one transfer per account, transfer of cash (debit or credit) counts as one transfer per account. One corporate action event can include several transfers per account (for example redemption consists of two transfers per account).

1.4 Maintenance fee^{5,6,7}

1.4.1 Shares in public and private limited companies

Market value of securities	Period	Price
Minimum fee (floor)	Quarter	ISK 75.000
Maintenance fee (added)	Quarter	0,000625%
Maximum fee	Quarter	ISK 225.000

1.4.2 Debt securities

Market value of securities	Period	Price
Minimum fee	Quarter	ISK 30.000
Maintenance fee	Quarter	0,0005%

1.4.3 Investment fund units

Market value of securities	Period	Price
Minimum fee (floor)	Quarter	ISK 75.000
Maintenance fee	Quarter	0,000625%
Maximum fee	Quarter	ISK 225.000

1.5 Maintenance of temporary securities accounts⁸

Service name	Period	Price
Maintenance fee for temporary account	Quarter	ISK 500
Maximum fee	Quarter	ISK 75.000

2. Query fees⁹

2.1 List of holders of securities (ESIS – shareholder system)

Query	Unit	Price
GUI access		Free
Yearly fee for web service user	Yearly per user	ISK 20.500
Adding additional ISIN to existing user ¹⁰	One time per ISIN	ISK 7.500
Web service connectivity fee	One time per user	ISK 20.500
Quick reports from (closing balance, transactions, etc.)	Per report	ISK 900
Quick report manual processing	Per report	ISK 5.000
Annual fee including unlimited number of quick reports ¹¹	Annual	ISK 240.000
Addition on annual fee per additional ISIN ¹²	Annual per additional ISIN	ISK 20.500
Disclosure automated processing (via the Nasdaq CSD ESIS system)	List per ISIN	ISK 2.500
Disclosure manual processing	List per ISIN	ISK 7.500

⁵ The maintenance fee for securities shall be paid for maintenance of each securities issue designated with a different registry code.

⁶ The fee shall be paid afterwards for each quarterly period.

⁷ The market value shall be calculated according to the rules set out in Appendix 1 to the price list.

⁸ Fee will apply from 1 September, 2020

⁹ Posting fee shall be added to lists and statements sent on paper by post according to the applicable price list.

¹⁰ Only applicable to funds and fund management companies

¹¹ Optional annual fee for ESIS including unlimited number of quick reports will be applied based on application from issuer.

¹² Only applicable to funds and fund management companies

ADDITIONAL SERVICES

1. Special queries¹³

Price range	Unit	Price
Minimum rate	Query	ISK 20.500
Maximum rate	Query	ISK 500.000

2. Consultation services

Service name	Unit	Price
Professional consultation	Hour	ISK 20.500
Training	Half day	ISK 75.000
Training	Full day	ISK 125.000

3. Mailing

Service name	Unit	Price
Domestic	Letter	ISK 250
Abroad	Letter	ISK 1000

¹³ Dependent on complexity of replying to the query – by agreement with the customer. The minimum rate of the query fee shall apply if replying to the query does not require any data processing operations with significant complexity and the reply to the query does not exceed 4,000 rows.