

Nasdaq CSD SE

NASDAQ CSD PRICE LIST

REGISTRY FEES

LITHUANIAN SECURITIES SETTLEMENT SYSTEM

Effective Date:

01.05.2019

1. REGISTRY SERVICES

1.1. Registry services fees

1.1.1.Registration and corporate actions

Service name	Unit	Price
Proceeding fee (within 5 working days)	Application	30 €
Express proceeding fee (within 1 working day)	Application	100 €

1.1.2.Registration and corporate actions - government bonds

Service name	Unit	Price
Issue initial registration fee ¹	Application	0.01% of par value
Maximum rate	Application	1,882.53 €
Minimum rate	Application	868.86 €
Coupon payment fee ²	Per event	0.005% of total paid out amount
Early redemption fee	Per event	0.002% of total paid out amount

1.2. ISIN allocation and maintenance

Service name	Unit	Price
ISIN allocation fee without registration of the issue	Application	30 €
ISIN maintenance fee when issue is not registered ³	Annual	100.20 €

1.3. Maintenance fees

1.3.1.Shares in public and private limited companies, rights, funds and other securities representing equities and rights

Market value of securities ⁴	Period	Price
Up to 100,000 €	Quarter	15 €
100,000 € - 700,000 €	Quarter	0.015% of the market value of securities
70,000 € - 2,000,000 €	Quarter	105 € + 0.01% of the amount exceeding the market value of 700,000 €
More than 2,000,000 €	Quarter	235 € + 0.0045% of the amount exceeding the market value of 2 million €
Maximum rate	Quarter	250 €

1.3.2.Corporate debt and other securities representing debt securities

Market value of securities	Period	Price
Up to 200,000 €	Month	10 €
200,000 € - 10,000,000 €	Month	10 € + 0.0015% of the market value of securities
10,000,000 € – 100,000,000 €	Month	160 € + 0.001% of the amount exceeding the market value of 10 million €
More than 100,000,000 €	Month	1,060 € + 0.0005% of the amount exceeding the market value of 100 million €
Maximum rate	Month	1,560 €

¹ Issue registration fee covers issue registration, increase of the issue through the auctions of additional offers and final redemption of the issue.

² Not applied when coupon payment is done at final redemption.

³ Not applicable for the year when ISIN is allocated.

⁴ The market value shall be calculated according to the rules set out in Appendix 1 to the price list.

1.4. Transfer fees

1.4.1. Securities and cash transfer instructions related to registration and corporate actions⁵

Service name	Unit	Price
Transfer instruction fee ⁶	Instruction per securities account	0.85 €

1.5. Query fees

1.5.1. List of account managers⁷

List	Unit	Price
Manual processing	List per ISIN	10 € +VAT

1.5.2. List of holders of securities

List	Unit	Price
Automated processing (via the Nasdaq CSD ESIS system)	List per ISIN	50 € +VAT
Manual processing	List per ISIN	75 € +VAT

1.6. Fee for Paying agent service

Service name	Unit	Price
Application-processing fee	Application	30 € + 0.0015% of the total value of payments to shareholders +VAT
Fee for transfer of payments	Payment instruction per account	0.85 € +VAT
Manual adjustment of income tax calculations ⁸	Payment instruction per account	0.15 € +VAT

2. ADDITIONAL SERVICES

2.1. Special queries⁹

Price range	Unit	Price
Minimum rate	Query	25 € +VAT
Maximum rate	Query	1,000 € +VAT

2.2. Consultation services

Service name	Unit	Price
Professional consultation	Hour	90 € +VAT
Training	Half day	200 € +VAT
Training	Full day	350 € +VAT

The Issuer, whose activities led to the determination of a contractual penalty or the use of an additional service fee as a result of which Nasdaq CSD incurred additional expenses, shall compensate for all such additional expenses.

⁵ Transfer fees are not applicable to the government securities.

⁶ Transfer of securities (credit or debit) counts as one transfer per account, transfer of cash (debit or credit) counts as one transfer per account. One corporate action event can include several transfers per account (for example redemption consists of two transfers per account).

⁷ The list of account managers for the annual general meeting of shareholders shall be provided free of charge.

⁸ Applies in case there is a need to manually adjust tax calculation in relation to certain accounts (e.g. investment account)

⁹ Dependent on complexity of replying to the query – by agreement with the customer. The minimum rate of the query fee shall apply if replying to the query does not require any data processing operations with significant complexity and the reply to the query does not exceed 4 000 rows.