

**REQUEST FOR PORTING AND MAINTENANCE OF LEI WITH KDD (legal person)**

**1. LEGAL ENTITY DATA:**

LEI code:	
Porting LOU:	
Legal Name:	
Other Entity Names:	
Business Register Number:	
ID for VAT:	
Legal Address:	
Street and House Number:	
City:	
ZIP:	
Country:	
Headquarters Address:	
Street and House Number:	
City:	
ZIP:	
Country:	
Other Address:	
Street and House Number:	
City:	
ZIP:	
Country:	
Business Register Data (Name and Country):	
Legal Form:	

**2. CONTACT PERSON DATA:**

Name and Surname:	
Position:	
Phone Number:	
E-mail / E-post:	
Street and House Number:	
City:	
ZIP:	
Country:	

### 3. DATA OF THE DIRECT PARENT-CHILD RELATIONSHIP

#### Legal person is not in Parent-Child relationship

Legal person does not have a Direct Parent according to the definition used, due to one of the following reasons (mark with a cross):

- legal entity is controlled by legal entities not subject to preparing consolidated financial statements;
- there is no known person controlling the entity (e.g., diversified shareholding);
- the entity is controlled by natural person(s) without any intermediate legal entity meeting the definition of accounting consolidating parent.

**Legal person is in Parent-Child relationship (has a Direct parent)**

Provide the data of the Parent-Child relationship (a) or select an opt-out reason preventing you to disclose said data and briefly explain (b).

**a. Data**

LEI code:	
Legal Name:	
Legal Address:	
Headquarters address:	
Business Register Number:	
Business Register Data (Name and Country):	
Relationship period (start date):	
Direct Parent:	

**Enclosed documents**

List the titles of the document(s) stating that the Parent-Child relationship exists and enclose a copy of the said document(s) (consolidated financial statements, regulatory filings, Contracts, or other documents supporting the said relationship).

**b. Opt-out reasons, followed by legal entity's brief explanation**

Legal Person cannot disclose the Direct Parent-Child relationship data due to one of the following opt-out reasons, followed by a brief explanation (mark with a cross):

- the parent does not consent to have an LEI;
- obstacles in the laws or regulations of a jurisdiction prevent providing or publishing this information. This does not include cases where, under the applicable legal framework disclosing the parent relationship would require the consent of one of the entities in the relationship, or both, and such consent could not be obtained;
- the consent of the parent was necessary to provide or publish said information under the applicable legal framework and the parent did not consent or could not be contacted;
- binding legal commitments (other than the laws or regulations of a jurisdiction) preventing providing or publishing this information, e.g. articles governing the legal entity or a contract;
- the disclosure of this information would be detrimental to the legal entity or the relevant parent;
- detriment to the legal entity or the relevant parent is not excluded in case of disclosure of this information.

**A brief explanation regarding the selected opt-out reason:**

#### 4. DATA OF THE ULTIMATE PARENT-CHILD

##### Legal person is not in Parent-Child relationship

Legal person does not have a Ultimate Parent according to the definition used, due to one of the following reasons (mark with a cross):

- legal entity is controlled by legal entities not subject to preparing consolidated financial statements;
- there is no known person controlling the entity (e.g., diversified shareholding);
- the entity is controlled by natural person(s) without any intermediate legal entity meeting the definition of accounting consolidating parent.

**Legal person is in Parent-Child relationship (has an Ultimate parent)**

Provide the data of the Parent-Child relationship (a) or select an opt-out reason preventing you to disclose said data and briefly explain (b).

**a. Data**

LEI code:	
Legal Name:	
Legal Address:	
Headquarters address:	
Business Register Number:	
Business Register Data (Name and Country):	
Relationship period (start date):	
Ultimate Parent:	

**Enclosed documents**

List the titles of the document(s) stating that the Parent-Child relationship exists and enclose a copy of the said document(s) (consolidated financial statements, regulatory filings, Contracts, or other documents supporting the said relationship).

**b. Opt-out reasons, followed by legal entity's brief explanation**

A Legal Person cannot disclose the Ultimate Parent-Child relationship data due to one of the following opt-out reasons, followed by a brief explanation:

- the parent does not consent to have an LEI;
- obstacles in the laws or regulations of a jurisdiction prevent providing or publishing this information. This does not include cases where, under the applicable legal framework disclosing the parent relationship would require the consent of one of the entities in the relationship, or both, and such consent could not be obtained;
- the consent of the parent was necessary to provide or publish said information under the applicable legal framework and the parent did not consent or could not be contacted;
- binding legal commitments (other than the laws or regulations of a jurisdiction) preventing providing or publishing this information, e.g. articles governing the legal entity or a contract;
- the disclosure of this information would be detrimental to the legal entity or the relevant parent;
- detriment to the legal entity or the relevant parent is not excluded in case of disclosure of this information.

**A brief explanation regarding the selected opt-out reason:**

**STATEMENT**

(mandatory)

With my signature I hereby (mark with a cross):

- guarantee for the accuracy and veracity of all the above stated data and accept KDDLEI - General Terms and Conditions which I have read;
- give permission to KDD (Centralna klirinško depotna družba delniška družba) to transmit data, collected in this LEI porting and maintenance procedure, to third persons if a disclosure of this data is needed for GLEIS performance due to the ISO 17442, ROC instructions or other documents or if a disclosure of this data is required due to the Law of the Republic of Slovenia;
- give permission to KDD to communicate to the sending LOU data related to the request for porting and maintenance of LEI with KDD, including the e-mail address of the contact person that has required the transfer, while at the same time I permit the sending LOU to communicate this data to its contact person of the said legal person.

The request should be sent to: [lei@nasdaq.com](mailto:lei@nasdaq.com)

Date	Name and surname of the authorised person and signature